

IN THE SECURITIES AND FUTURES APPEALS TRIBUNAL

IN THE MATTER OF a Decision made by the
Securities and Futures Commission under
section 194 of the Securities and Futures
Ordinance, Cap. 571

AND IN THE MATTER OF section 217 of the
Securities and Futures Ordinance, Cap. 571

BETWEEN

YI SHUN DA CAPITAL LIMITED	Applicant
and	
SECURITIES AND FUTURES COMMISSION	Respondent

Tribunal: Mr Michael Hartmann, Chairman

Date of Hearing: 26 April 2021

Date of Determination: 19 October 2021

CORRIGENDUM

1. Page 13, paragraph 39, line J where “(paragraph 36)” should read “(paragraph 37)”.
2. Page 16, footnote 9, line T where “top ten five customers” should read “top ten/five customers”.
3. Page 18, paragraph 56(c), line A where “said that he had” should read “said that she had”.
4. Page 28, paragraph 96, line M where “only of the of the” should read “only of the”.

Dated 11th day of January 2022



Michael Hartmann
Chairman
Securities and Futures Appeals Tribunal